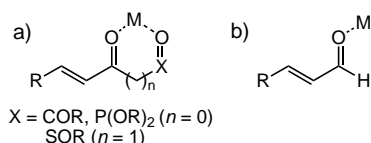




# Highly Enantioselective Inverse-Electron-Demand Hetero-Diels–Alder Reactions of $\alpha,\beta$ -Unsaturated Aldehydes\*\*

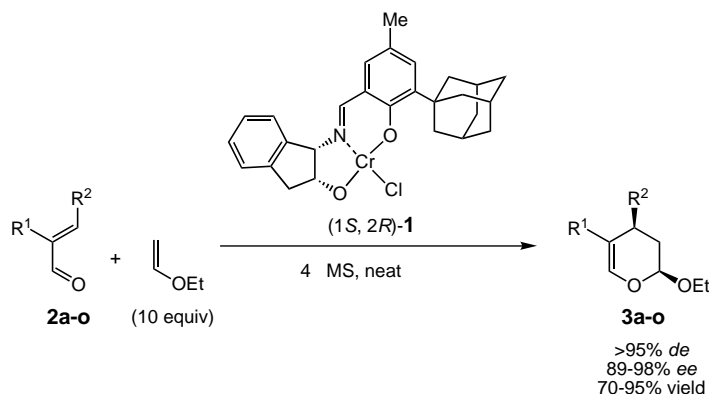
Karl Gademann, David E. Chavez, and Eric N. Jacobsen\*

Dihydro- and tetrahydropyran derivatives are prevalent structural subunits in a variety of biologically important compounds, including carbohydrates, pheromones, iridoids, and polyether antibiotics. The inverse-electron-demand hetero-Diels–Alder (HDA) reaction of oxabutadienes with electron-rich olefins is a synthetically attractive route to such heterocycles, allowing the direct formation of dihydropyran derivatives with up to three stereogenic centers in one convergent step from simple achiral precursors.<sup>[1]</sup> Although there are numerous diastereoselective variants of the inverse-electron-demand HDA reaction known,<sup>[2]</sup> very few examples of catalytic, enantioselective versions have been identified to date.<sup>[3]</sup> The scope of reported methods is limited to oxabutadiene derivatives bearing electron-withdrawing groups such as sulfone groups,<sup>[3a]</sup> phosphonate groups,<sup>[3b]</sup> or ester groups.<sup>[3c]</sup> These ancillary groups serve both to activate the oxadiene electronically and to anchor the substrate to the catalyst by two-point binding (Scheme 1a). Chelation in this manner appears to be essential for attaining good reactivity and stereoselectivity.



Scheme 1. a) Two-point binding of oxabutadiene derivatives to a Lewis acid (M = Lewis acid); b) one-point binding of an  $\alpha,\beta$ -unsaturated aldehyde to a Lewis acid.

Development of asymmetric inverse-demand HDA reactions involving simple  $\alpha,\beta$ -unsaturated aldehyde substrates would expand the utility of this methodology significantly (Scheme 2).<sup>[4]</sup> This task presents a clear challenge, however, which requires effective activation and enantiofacial discrimination of the carbonyl solely through one-point binding to catalyst (Scheme 1b), while simultaneously avoiding unproductive decomposition of the sensitive oxadiene and electron-



Scheme 2. Hetero-Diels–Alder reactions catalyzed by **1**; MS = molecular sieves, *de* = diastereomeric excess.

rich dienophile partners. Herein we describe the first example of a successful solution to this problem, in the highly enantioselective (Schiff base)Cr<sup>III</sup>-catalyzed HDA reactions of alkyl vinyl ethers with  $\alpha,\beta$ -unsaturated aldehydes.

The tridentate (Schiff base)chromium complex (**1**, see Scheme 2) has been identified as a highly diastereoselective and enantioselective catalyst in hetero-Diels–Alder (HDA) reactions between aldehydes and mono-oxygenated 1,3-diene derivatives.<sup>[5]</sup> The crucial feature of this catalyst system lies in its ability to effect activation of simple aldehydes toward pericyclic reactions with only mildly nucleophilic partners, with concomitant high enantioselectivity.<sup>[6]</sup> With an eye toward determining whether such properties might be extended to reactions of conjugated aldehydes, we evaluated the reaction of crotonaldehyde and ethyl vinyl ether as a model system for the inverse-demand HDA. The uncatalyzed HDA reaction takes place only at elevated temperatures and pressures, yielding the corresponding dihydropyran **3a** in good yields but poor *endo/exo* selectivity.<sup>[7]</sup> We were encouraged to find that the same reaction proceeded in the presence of 4 Å molecular sieves with 5 mol % **1**<sup>[8]</sup> in *tert*-butyl methyl ether (TBME) or CH<sub>2</sub>Cl<sub>2</sub> solution at RT to provide **3a** with excellent diastereoselectivity (*endo/exo* > 96:4),<sup>[9]</sup> and promising enantioselectivity (72–78% *ee*; *ee* = enantiomeric excess). Unfortunately, aldehyde conversions of only approximately 20% were achieved using 1:1 molar ratios of the reactants at 1M concentration. A dramatic improvement was observed in reactions carried out under solvent-free conditions and excess ethyl vinyl ether<sup>[10]</sup> (94% *ee* and 75% isolated yield, Table 1, **2a**). Indeed, introduction of solvents (CH<sub>2</sub>Cl<sub>2</sub>, toluene, acetone, and *tert*-butyl methyl ether) generally resulted in significantly lower enantioselectivity in the cycloaddition (40–80% *ee*). Ethyl vinyl ether was shown to be the optimal dienophile partner. The selectivity and reactivity decreased as the steric bulk of the alkyl group was increased (Et > *n*Pr > *n*Bu  $\approx$  *i*Bu), to the point that *tert*-butyl vinyl ether was found to be unreactive.

With these reaction parameters defined, a variety of aldehydes were examined in the inverse-electron-demand HDA reaction with ethyl vinyl ether (Table 1). A wide range of  $\alpha,\beta$ -unsaturated aldehydes bearing aliphatic  $\beta$  substituents (**2a–e**) underwent cycloaddition with high enantioselectivity

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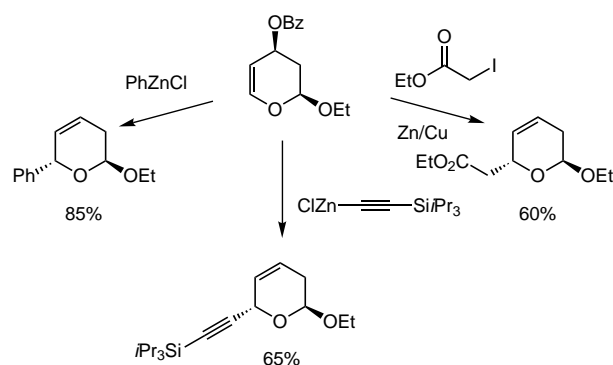
Supporting information for this article is available on the WWW under <http://www.angewandte.org> or from the author.

Table 1. Asymmetric inverse-electron-demand hetero-Diels–Alder reactions of  $\alpha,\beta$ -unsaturated aldehydes with ethyl vinyl ether, catalyzed by **1**.<sup>[a]</sup>

Aldehyde	R <sup>1</sup>	R <sup>2</sup>	Catalyst loading [mol %] <sup>[b]</sup>	Reaction time [h]	Yield [%] <sup>[c]</sup>	ee [%] <sup>[d]</sup>
<b>2a</b>	H	Me	5	24	75	94
<b>2b</b>	H	Et	5	48	75	94
<b>2c</b>	H	<i>i</i> Pr	10	48	72	94
<b>2d</b>	H	<i>n</i> Pr	5	48	73	94
<b>2e</b>	H	<i>n</i> Bu	5	48	70	95
<b>2f</b>	H	Ph	10	48	75	98
<b>2g</b>	H	4-MeO-C <sub>6</sub> H <sub>4</sub>	10	96	40	98
<b>2h</b>	H	4-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub>	10	72	90	98
<b>2i</b>	H	2-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub>	10	120	80	98
<b>2j</b>	H	CH <sub>2</sub> OBn	5	24	90	95
<b>2k</b>	H	CH <sub>2</sub> OTBS	5	24	95	92
<b>2l</b>	H	CO <sub>2</sub> Et	5	24	90	95
<b>2m</b>	H	OBz	5	48	80	89
<b>2n</b>	Br	Ph	5	48	75	98
<b>2o</b>	Me	Me	7	96	75	92

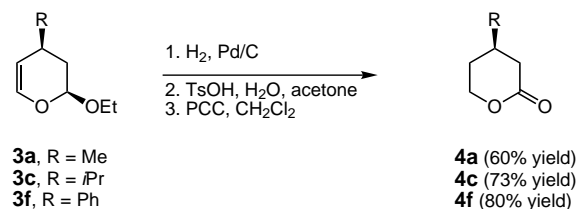
[a] Reactions were performed with aldehyde (1 mmol) and ethyl vinyl ether (10 equiv), in the presence of finely powdered 4 Å molecular sieves (150 mg). [b] Catalyst loadings refer to the amount of chromium employed, assuming the molecular structure depicted in Scheme 2. [c] Product isolation was accomplished by dilution of the reaction mixture with pentane, filtration, and distillation or column chromatography. Yields given are after isolation. [d] Enantioselectivities were determined either by HPLC or GC analysis, using commercially available chiral stationary phases. For details see the Supporting Information.

(94–95% ee, 70–75% yield). Whereas use of 5 mol % catalyst was adequate in most cases, the sterically more demanding 4-methyl-2-pentenal (**2c**) required 10 mol % of **1** to attain complete conversion within 48 h. Cinnamaldehyde derivatives (**2f–i**) underwent reactions with ethyl vinyl ether with very high enantioselectivity, and excellent diastereoselectivity. Substrates bearing functionality within R<sup>2</sup> (e.g. **2j–l**) were among the very best in all respects, displaying good reactivity and consistently high enantioselectivity and yields (92–95% ee, 90–95% yield). The successful application of 3-benzoylacrolein (**2m**) in the HDA reaction provides ready access to the 4-benzoyl-substituted dihydropyran derivative, a versatile intermediate poised for an assortment of useful substitution reactions (Scheme 3).<sup>[11]</sup> Finally, the promising scope of this method is illustrated further by the demonstrated tolerance for substituents at the 2-position of the aldehyde. Both 2-bromocinnamaldehyde (**2n**) and 2-methyl-2-butenal (**2o**) provided cycloadducts with high enantioselectivity.



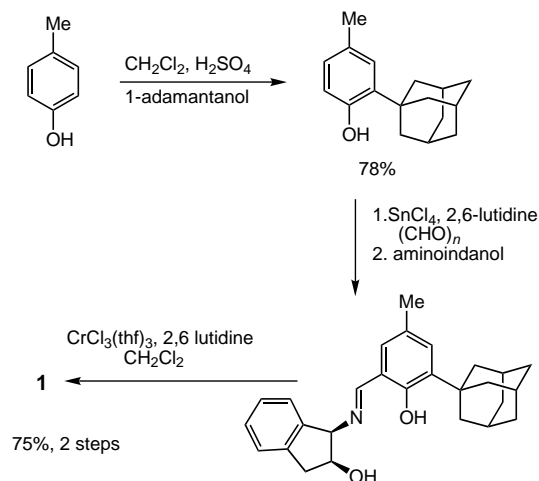
Scheme 3. Substitution reactions of the dihydropyran derivative **3m**, Bz = benzyl.

The absolute configuration of the products was established by conversion into the known 4-substituted pyranones (Scheme 4).<sup>[12]</sup> Thus, reduction of the dihydropyrans **3a**, **3c**, and **3f** with H<sub>2</sub> in the presence of Pd on charcoal, followed by hydrolysis (TsOH, H<sub>2</sub>O, acetone; Ts = tosyl) and pyridinium chlorochromate (PCC) oxidation of the resulting lactol afforded the corresponding lactones (**4**). This straightforward procedure provides a relatively concise and high-yielding (60–80% overall) route to synthetically useful 4-substituted pyranone derivatives in an enantioenriched form.<sup>[13]</sup>



Scheme 4. Preparation of  $\beta$ -substituted pyranones from dihydropyrans **3a**, **3c**, and **3f**.

In an effort to render the HDA methodology as useful and accessible as possible, we have devised a new and significantly improved synthesis of catalyst **1** (Scheme 5).<sup>[14]</sup> As compared



Scheme 5. Practical synthesis of catalyst (1*R*,1*S*)-**1**.

to the procedure disclosed previously,<sup>[5]</sup> the new route avoids the use of sensitive and expensive Cr<sup>II</sup> salts and can be carried out conveniently on the bench. More significant, it provides material of higher quality with respect to its chemical properties. In particular, compound **1** obtained from the new procedure requires no aging with molecular sieves to attain optimal performance, and confers 5–10% higher enantioselectivity than does catalyst prepared by the original procedure.<sup>[15]</sup>

Insight into the basis for stereoinduction in these cycloaddition reactions will rely on a detailed understanding of the mechanism of catalysis. In this context, inspection of the crystal structure of **1** may provide a valuable starting point (Figure 1).<sup>[16]</sup> In the solid state, catalyst **1** exists as a dimeric

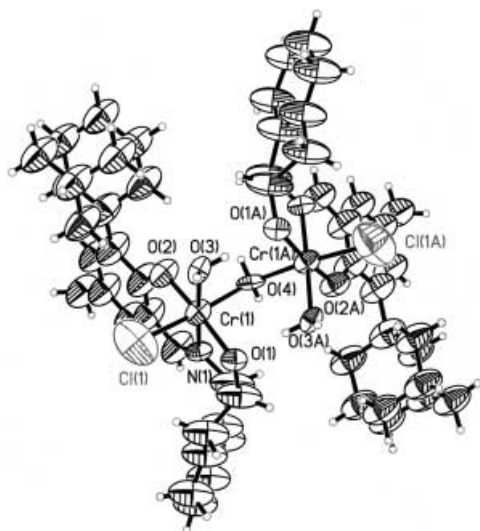


Figure 1. X-ray crystal structure of catalyst **1**; ellipsoids drawn at the 50 % probability level.

structure, bridged through a single water molecule and bearing one terminal water ligand on each chromium center. On the basis of preliminary solution molecular-weight and kinetic studies,<sup>[17]</sup> it appears that this dimeric structure is maintained in the catalytic cycle. Dissociation of a terminal water molecule to open a coordination site for complexation of the aldehyde substrate is expected to be energetically difficult, and may serve to explain the crucial role of molecular sieves in these reactions.<sup>[18]</sup>

In summary, the scope of (Schiff base)Cr<sup>III</sup>-catalyzed cycloaddition reactions has been expanded to inverse-demand HDA reactions of simple  $\alpha,\beta$ -unsaturated aldehydes. The broad range of aldehydes utilized effectively by catalyst **1** allows access to a series of synthetically useful dihydropyran derivatives in a highly enantioenriched form. Future studies will be directed toward further extending the scope of this promising methodology in the context of more elaborate intermediates and natural product targets.

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